



1900 K STREET, N.W.  
WASHINGTON, D.C. 20006-1109

TEL 202 • 955 • 1500  
FAX 202 • 778 • 2201

HERBERT V. KERNER III  
DIRECT DIAL: 202.955.1983  
EMAIL: HKERNER@HUNTON.COM

December 14, 2001

FILE NO: 52493.000204

## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket Number: **52493.000204**

First Named Inventor: **Scott HAMILTON et al.**

Title: **SYSTEM AND METHOD FOR MONITORING INFORMATION DELIVERED  
THROUGH AN ELECTRONIC DELIVERY SYSTEM**

TO: **BOX PATENT APPLICATION**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☒ Fee Transmittal Form (original and duplicate)
2. ☐ Small Entity Status Claimed: ☐ Independent Inventor  
☐ Small Business Concern  
☐ Non-Profit Organization  
☐ Statement Enclosed  
☐ Statement Filed in Prior Application; Status Still Proper and Desired
3. ☒ Specification - Total Pages: 15 (Including Abstract)

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	40	20	20	\$ 18.00	\$ 9.00	\$ 360.00
Independent Claims	3	3	0	\$ 84.00	\$ 42.00	\$ 0.00
First Presentation of Multiple Dependent Claims				\$ 280.00	\$ 140.00	\$ 0.00
<b>BASIC FEE</b>				\$ 740.00	\$ 370.00	\$ 740.00
<b>TOTAL FILING FEE</b>						\$ 1,100.00
Assignment Recordation Fee						\$ 0.00
<b>TOTAL AMOUNT ENCLOSED</b>						<b>\$ 1,100.00</b>



**BOX PATENT APPLICATION**

December 14, 2001

Page 2

4. ☒ Drawings - Total Sheets: 5 (Fig(s). 1 - 5)
5. Oath or Declaration - Total Pages: 5
- a. ☐ Newly executed (original or copy)  
☒ New (unexecuted)
- b. ☐ Copy from a prior application  
(for continuation/divisional with Box 17 completed)
- i. ☐ DELETION OF INVENTOR(s):  
Signed statement attached deleting inventor(s) named in prior application.
6. ☐ Application Data Sheet
7. ☐ CD-ROM or CD-R in duplicate, large table or Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (two copies); or
- ii. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statements verifying identity of above copies
9. ☐ Assignment and Assignment Recordation Form
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement with PTO-1449 and References  
☐ Copies of Information Disclosure Statement Citations
13. ☐ Preliminary Amendment

**BOX PATENT APPLICATION**

December 14, 2001

Page 3

14. ☒ Return Receipt Postcard

15. ☐ Foreign Priority is Claimed as Follows:

\_\_\_\_\_  
\_\_\_\_\_

☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith

16. ☐ Nonpublication Request under 35 U.S.C. § 1222(b)(2)(B)(i). Applicant must attach Form PTO/SB/35 or its equivalent.

17. ☐ Other: \_\_\_\_\_

18. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of  
Prior Application No.: \_\_\_\_\_ filed \_\_\_\_\_

☐ Incorporation By Reference (useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application papers.

☐ Complete Application Based on Provisional Application No.: \_\_\_\_\_  
filed \_\_\_\_\_

19. Please address all correspondence to:

☒ **CUSTOMER NUMBER** 21967

☐  
Intellectual Property Department  
Hunton & Williams  
1900 K Street, N.W.  
Suite 1200  
Washington, DC 20006-1109

20. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is as follows:

☐ The power of attorney is to:



**BOX PATENT APPLICATION**

December 14, 2001

Page 4

- ☐ Please remove as power of attorney:
- ☐ Please add as power of attorney:
21. ☒ A check in the amount of \$ 1,100.00 is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to **Deposit Account No. 50-0206.**

Respectfully submitted,

By:

  
Herbert V. Kerner III  
Registration No. 42,721

HVK/cek  
Enclosures

12/14/01  
J1131 U.S. PTO

# FEE TRANSMITTAL BOX PATENT APPLICATION

Complete If Known

Application No.	To Be Assigned
Filing Date	December 14, 2001
First Named Inventor	Scott HAMILTON et al.
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned

Total Amount Of Payment (\$)**1,100.00.**

Attorney Docket No. 52493.000204

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to **Deposit Account No. 50-0206** in the name of Hunton & Williams.

2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-0206** in the name of Hunton & Williams, 1900 K Street, N.W., Suite 1200, Washington, D.C. 20006-1109.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Description	Fee Paid
<input type="checkbox"/> Surcharge - late filing fee or oath	\$
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$
<input type="checkbox"/> _____ Month Extension of Time	\$
<input type="checkbox"/> Notice of Appeal	\$
<input type="checkbox"/> Filing Brief in Support of Appeal	\$
<input type="checkbox"/> Request for Oral Hearing	\$
<input type="checkbox"/> Utility Issue Fee (or Reissue)	\$
<input type="checkbox"/> Design Issue Fee	\$
<input type="checkbox"/> Plant Issue Fee	\$
<input type="checkbox"/> Petition to Commissioner	\$
<input type="checkbox"/> Petition to Revive (Unavoidable)	\$
<input type="checkbox"/> Petition to Revive (Unintentional)	\$
<input type="checkbox"/> Petitions Related to Provisional Applications	\$
<input type="checkbox"/> Submission of Information Disclosure Statement	\$
<input type="checkbox"/> Filing Submission After Final Rejection	\$
<input type="checkbox"/> Recording Each Patent Assignment Per Property	\$
<input type="checkbox"/> Filing Request for Reexamination	\$
<input type="checkbox"/> Other (specify) _____	\$

## FEE CALCULATION

1. **BASIC FILING FEE** ☒ Large Entity ☐ Small Entity

### FEE PAID

Utility Filing Fee	\$	<b>740.00</b>
Design Filing Fee	\$	
Plant Filing Fee	\$	
Reissue Filing Fee	\$	
Provisional Filing Fee	\$	

## 2. EXTRA CLAIMS FEES

### CLAIMS AS AMENDED

For	Number Present	Highest Number Paid For	Extra	Rate		Amount
				Large Entity	Small Entity	
TOTAL CLAIMS	40	20	20	x \$ 18.00	x \$ 9.00	\$ 360.00
INDEPENDENT CLAIMS	3	3	0	x \$ 84.00	x \$ 42.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS				\$ 280.00	\$ 140.00	\$ 0.00
<b>TOTAL EXTRA CLAIMS FEES</b>						<b>\$ 360.00</b>

## SUBMITTED BY

Complete (if applicable)

Typed or Printed Name Herbert W. Kerner, III

Registration No. 42,721

Signature

Date

December 14, 2001



21967

PATENT TRADEMARK OFFICE

J1046 U.S. PTO  
10/014554  
12/14/01